

EXPRESS MAIL LABEL NO.: None

January 14, 2005

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER: GKS C-413

U.S. APPLICATION NO.

Confirmation No.: 7508

(If known, see 37 CFR 1.5): 10/501 255

INTERNATIONAL APPLICATION NO.: PCT/EP03/00189

INTERNATIONAL FILING DATE: January 10, 2003

PRIORITY DATE CLAIMED: January 14, 2002

TITLE OF INVENTION: DEVICE AND METHOD FOR CONTROLLING AND/OR MONITORING  
A YARN PROCESSING SYSTEM

APPLICANT(S) FOR DO/EO/US: (1) Thomas RUNDBERG, (2) Niklas WAHLGREN, (3) Lars Helge Gottfrid THOLANDER  
and (4) Paer JOSEFSSON

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not transmitted by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
  1. Copy of PTO "Notification of Missing Requirements" dated December 16, 2004
  2. Acknowledgement Postal Card

U.S. APPLICATION NO.  
(if known, see 37 CFR 1.5):  
10/501 255

INTERNATIONAL APPLICATION NO.:  
PCT/EP03/00189

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GKS C-413

21. [ ] The following fees are submitted:

Calculations PTO USE ONLY

BASIC NATIONAL STAGE FEE: .....	\$ 300.00
NATIONAL STAGE SEARCH FEE: .....	\$ 500.00
NATIONAL STAGE EXAMINATION FEE:.....	\$ 200.00
NATIONAL STAGE APPLICATION SIZE FEE: .....	\$ 0.00
<b>NATIONAL STAGE FEES</b>	<b>= \$ 0.00</b>

Surcharge of **\$130.00** for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	- 20	=	X \$ 50.00	\$ 0.00
Ind. claims	- 3	=	X \$200.00	\$ 0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$ 0.00
<b>TOTAL OF ABOVE CALCULATIONS</b>				<b>= \$ 130.00</b>

[ ] Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

**SUBTOTAL** = \$ 130.00

Processing fee of **\$130.00** for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

**TOTAL NATIONAL FEE** = \$ 130.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property

**TOTAL FEES ENCLOSED** = \$ 130.00

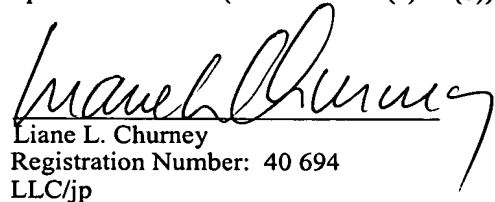
Amount to be refunded: \$  
charged: \$

- a. [X] A check in the amount of \$130.00 to cover the above fees is enclosed.
- b. [ ] Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1382. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

IN DUPLICATE

**PLEASE DIRECT CORRESPONDENCE TO THE ADDRESS ASSOCIATED WITH THE FOLLOWING CUSTOMER NUMBER: 23474**

  
Liane L. Churney  
Registration Number: 40 694  
LLC/jp

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300.12/04  
Form PTO-1390 Page 2 of 2

01/25/2005 GFREY1 00000057 10501255

01 FC:1617 130.00 OP

Applicant(s) : Thomas RUNDBERG et al.  
For : DEVICE AND METHOD FOR CONTROLLING AND/OR  
MONITORING A YARN PROCESSING SYSTEM

Rec'd PCT/PTO 18 JAN 2005

PCT International Application No.: PCT/EP03/00189  
PCT International Filing Date: January 10, 2003  
U.S. Application No.  
(if known, see 37 CFR 1.5): 10/501 255  
Confirmation No.: 7508  
Atty. Docket No.: GKS C-413

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## FIRST CLASS MAILING CERTIFICATE

Sir:

I hereby certify that this correspondence is being  
deposited with the United States Postal Service under 37 CFR  
1.8 as first class mail in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
22313-1450, on January 14, 2005.

  
Liane L. Churney

LLC/jp

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Liane L. Churney	Reg. No. 40 694
Brian R. Tumm	Reg. No. 36 328
Steven R. Thiel	Reg. No. 53 685
Donald J. Wallace	Reg. No. 43 977
Sidney B. Williams, Jr.	Reg. No. 24 949

Correspondence: Form PTO-1390 dated January 14, 2005, and  
all enclosures listed thereon

390.12/04



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/501,255	FIRST NAMED APPLICANT Thomas Rundberg	ATTY. DOCKET NO. GKS C-413
DHT _____ DGB _____ RJT _____ TFC _____ MLM _____ LMC _____ BRT _____ SRT _____ SBW _____		INTERNATIONAL APPLICATION NO. PCT/EP03/00189
23474 FLYNN THIEL BOUTELL & TANIS, P.C. 2026 RAMBLING ROAD KALAMAZOO, MI 49008-1699	DEC 20 2004	I.A. FILING DATE 01/10/2003 PRIORITY DATE 01/14/2002
DJW _____ PMG _____ GMS _____ KC _____ CMC _____ TLH _____ FILE _____		CONFIRMATION NO. 7508 371 FORMALITIES LETTER  *OC000000014769751*

Date Mailed: 12/16/2004

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 07/08/2004
- English Translation of the IA filed on 07/08/2004
- Copy of the International Search Report filed on 07/08/2004
- Copy of IPE Report filed on 07/08/2004
- Preliminary Amendments filed on 07/08/2004
- Information Disclosure Statements filed on 07/08/2004
- Request for Immediate Examination filed on 07/08/2004
- U.S. Basic National Fees filed on 07/08/2004
- Priority Documents filed on 07/08/2004

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

FILE NO:GKS 413 ATTY:LLC  
DUE DATE:02-16-2005 ( 20050216 )  
/INIT:( 20041216 )  
ACTION:10 RES PER:2 M DK1  
FILE FEE DEC, ET AL / 7389

FILE NO:GKS 413 ATTY:LLC  
DUE DATE:02-16-2005 ( 20050216 )  
/INIT:( 20041216 )  
ACTION:10 RES PER:2 M DK1  
FILE IDS?? W/MISS PARTS / 7388

BEST AVAILABLE COPY

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 305-3736

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/501,255	PCT/EP03/00189	GKS C-413

FORM PCT/DO/EO/905 (371 Formalities Notice)